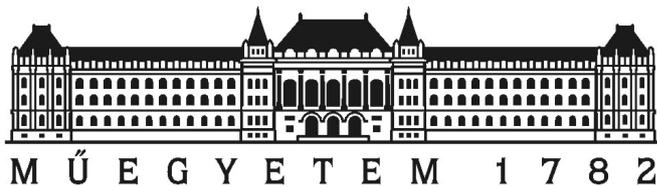


# Topological phases of quantum walks and how they can be detected

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Technical University Budapest,  
Wigner Research Centre for Physics, Budapest



[Phys. Rev. B 95, 201407 (2017)]

Donostia International Physics Center, 2019 Oct 11

# The plan for today

Quantum Walks as simulators for solid state

Topological insulators: interesting Hamiltonians to simulate

Extra topological invariants of quantum walks

Two methods to measure topological invariants, with disorder:

- Using scattering matrices
- Using weak measurement & expected displacement

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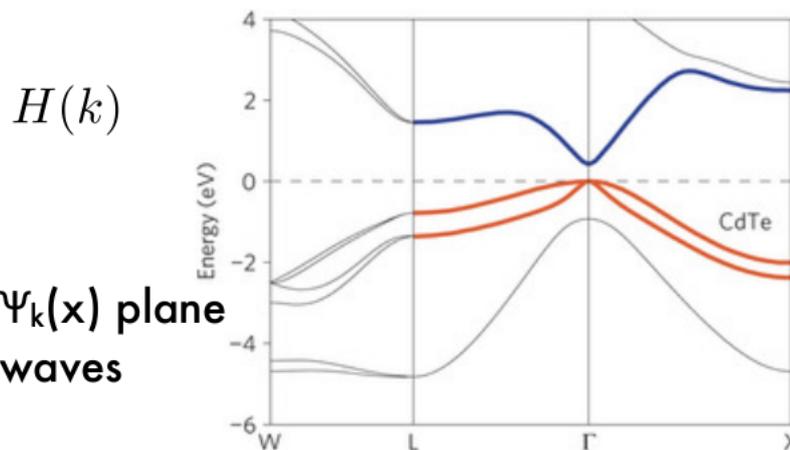
Insulator: has bulk energy gap separating fully occupied bands from fully empty ones

$$\hat{H} = \sum_{\langle xx' \rangle} H_{xx'} \hat{c}_{x'}^\dagger \hat{c}_x$$

(includes superconductors in mean-field, using Bogoliubov-de Gennes trick)

edge region: low energy electrons confined here

translation invariant bulk



$\Psi_k(x)$  plane waves

$\Psi(x)$  have evanescent tails into the bulk

Bulk:

- simple, can be clean,
- most of the energy states
- decides insulator/conductor

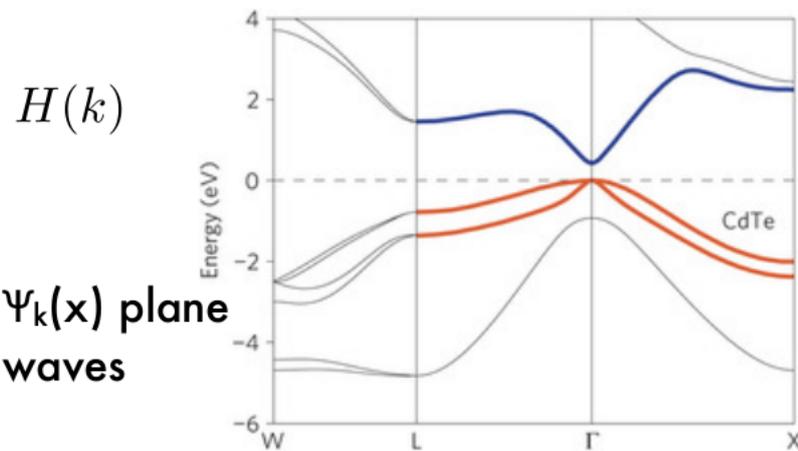
Boundary/edge:

- disordered
- few of the energy states
- can hinder contact

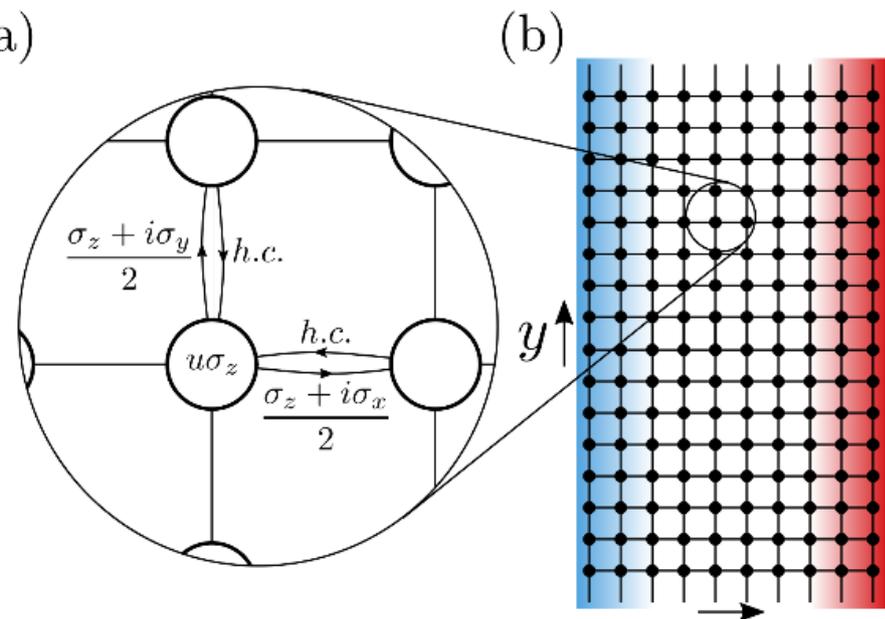
Topological Insulator: has protected, extended midgap states on surface, which lead to robust, quantized physics

edge region: low energy electrons confined here

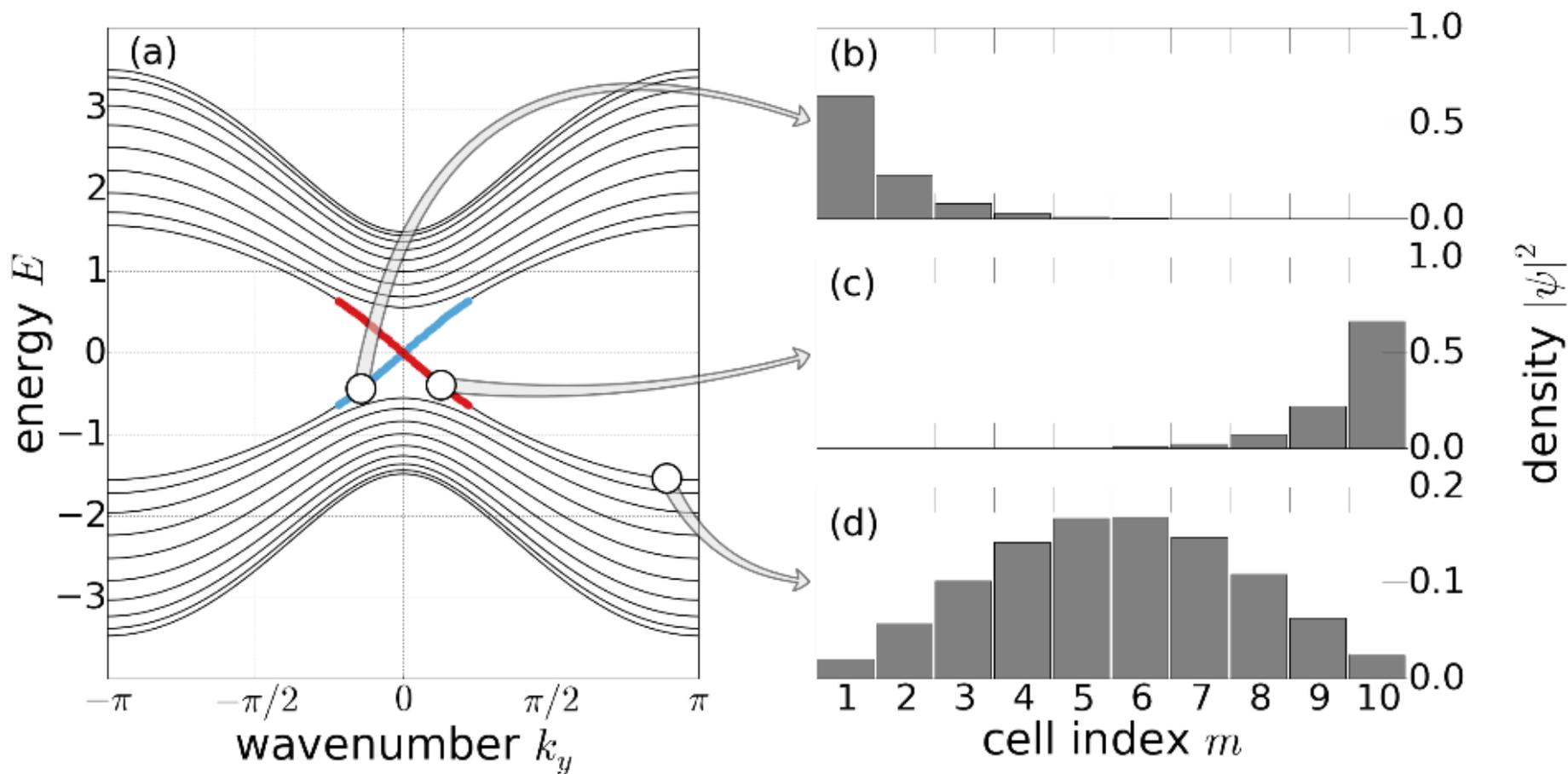
translation invariant bulk



$\Psi(x)$  have evanescent tails into the bulk



**2D Chern Insulators: 1-way  
conducting states**  
 → no backscattering  
 → perfect edge conduction



“Why call them *Topological Insulators*?”

a) Robust physics at the edge (2D: conductance via edge state channels) quantified by small integers

1D, quantum wire:

# of topologically protected  
0-energy states at ends of wire

3D:

# of Dirac cones on surface

Cannot change by continuous deformation that  
leaves bulk insulating

→ TOPOLOGICAL INVARIANT

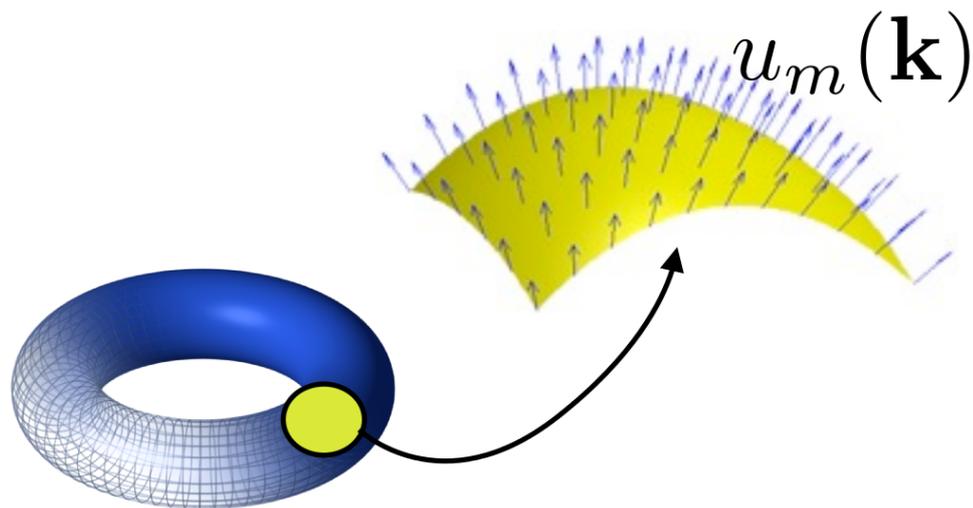
# “Why call them *Topological Insulators*?”

b) Bulk description has a topological invariant, generalized “winding” in Brillouin Zone

Example: 2D, two levels:

$$\hat{H}(k) = \vec{h}(k) \hat{\sigma}$$

Mapping from d-dimensional torus to Bloch sphere



Brillouin Zone

$n : i$

More general 2D: Chern number of occupied bands

$$A_{\mu}^{(n)}(k) = -i \langle n(k) | \partial_{k_{\mu}} | n(k) \rangle$$

$$F_{xy}^{(n)}(k) = \partial_{k_x} A_y^{(n)} - \partial_{k_y} A_x^{(n)}$$

$$Q^{(n)} = \frac{1}{2\pi} \int_{BZ} d^2k F_{xy}^{(n)}(k)$$

# Central, beautiful idea of Topological Insulators: Bulk–boundary correspondence: “winding number” of bulk = # of edge states

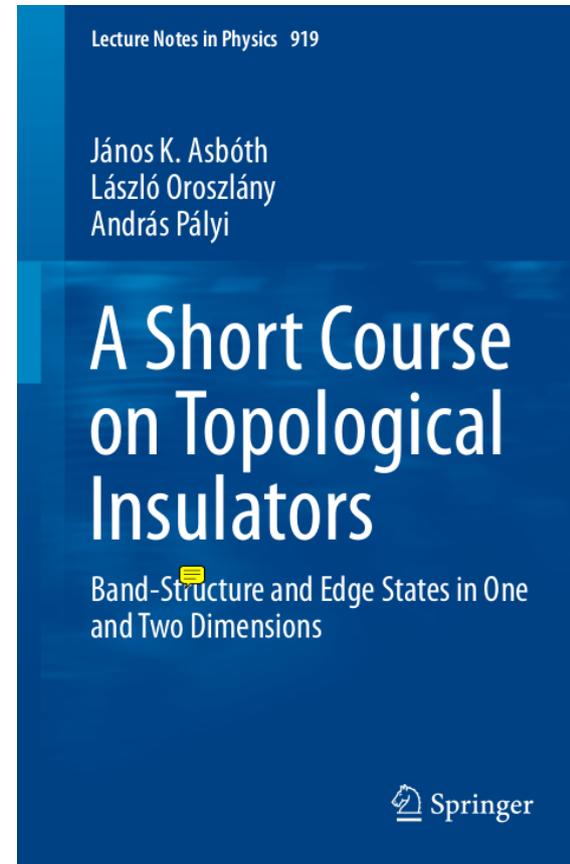
weeks 1-5: gather tools, build intuition

week 6: Central aim of the course:  
prove bulk–boundary correspondence  
for the 2-dimensional case

weeks 7-10: generalize/understand

Further accessible sources:

- 3 lectures by Charles Kane (youtube)
- online course by Akhmerov&friends [topocondmat.org](http://topocondmat.org)



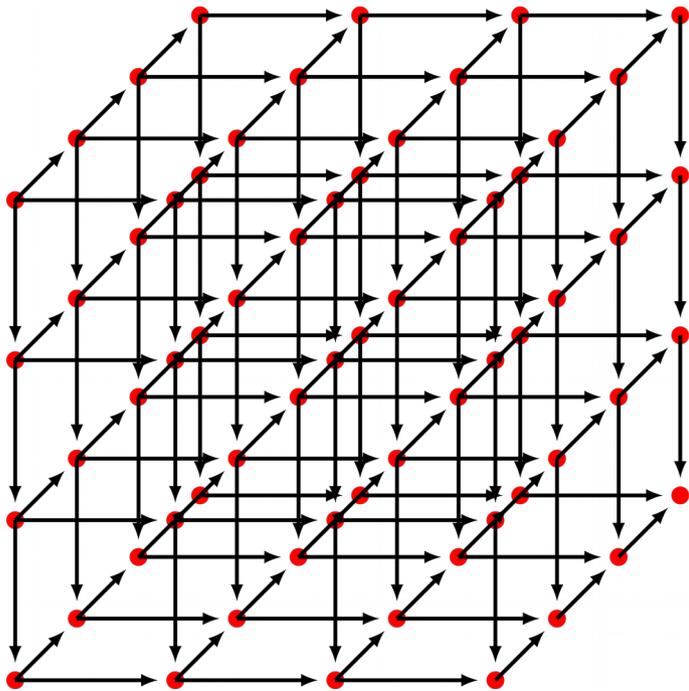
# Theory of topological insulators is quite developed. Example: periodic table

Symmetry			$\delta = d - D$							
$\Theta^2$	$\Xi^2$	$\Pi^2$	0	1	2	3	4	5	6	7
0	0	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0
0	0	1	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$
1	0	0	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$
1	1	1	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$
0	1	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0
-1	1	1	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$
-1	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0
-1	-1	1	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0
0	-1	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0
1	-1	1	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$

Schnyder et al, NJP (2010)  
 Teo & Kane, PRB (2010)  
 Fulga et al, PRB (2012)

# Quantum Walks can simulate Topological Insulators. They can be similar to a solid

split-step quantum walk on cubic lattice (3D, 2D, 1D)



Element 1: coin- (spin-) dependent shift,

$$\hat{S}_x = \sum_{\mathbf{r} \in \mathbb{Z}^3} |\mathbf{r} + \mathbf{e}_x, \uparrow\rangle \langle \mathbf{r}, \uparrow| + |\mathbf{r} - \mathbf{e}_x, \downarrow\rangle \langle \mathbf{r}, \downarrow|$$

Element 2: unitary rotation of coin (spin)

$$\hat{R}(\theta) = \sum_{\mathbf{r} \in \mathbb{Z}^3} |\mathbf{r}\rangle \langle \mathbf{r}| \otimes e^{-i\theta \hat{\sigma}_y} = \hat{1} \otimes \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix}$$

Timestep operator:

$$\hat{U} = \hat{S}_z \hat{R}_3 \hat{S}_y \hat{R}_2 \hat{S}_x \hat{R}_1$$

Quantum Walk discrete time evolution:

$$|\Psi(t)\rangle = \hat{U}^t |\Psi(0)\rangle, \quad \text{with } t \in \mathbb{N}$$

# Quantum Walk can simulate topological insulators via the (Floquet) Hamiltonian $H_{\text{eff}}$ . Intuitive understanding

Long-time behaviour: eigenstates of timestep operator  $U$   
 Translation invariant “bulk”: momentum  $k$  good quantum number

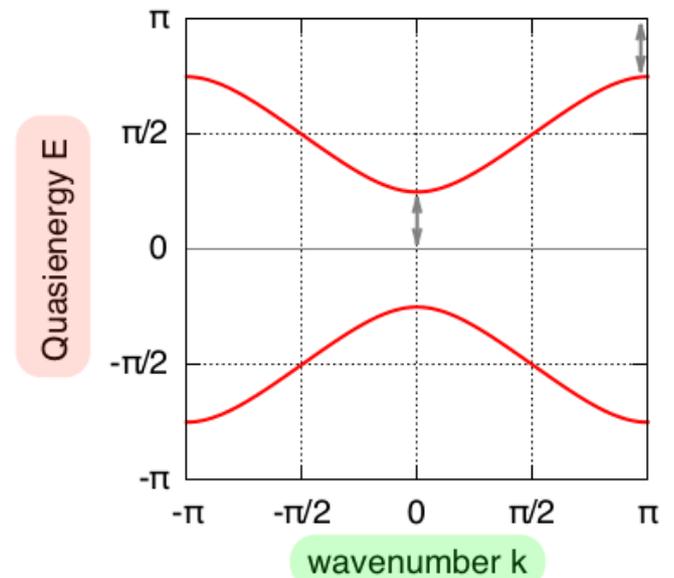
$$\hat{U} = \hat{S}_y \hat{R}_2 \hat{S}_x \hat{R}_1 = e^{-ik_y \hat{\sigma}_z} e^{-i\theta_2 \hat{\sigma}_y} e^{-ik_x \hat{\sigma}_z} e^{-i\theta_1 \hat{\sigma}_y} \quad \hat{H}_{\text{eff}} = i \log \hat{U}$$

$$|\Psi(t)\rangle = \hat{U}^t |\Psi(0)\rangle = e^{-i\hat{H}_{\text{eff}} t} |\Psi(0)\rangle$$

Stroboscopic simulation of time-independent  $H_{\text{eff}}$   
 (coincide at integer times  $t$ )

Eigenstates of the walk are eigenstates of  $H_{\text{eff}}$

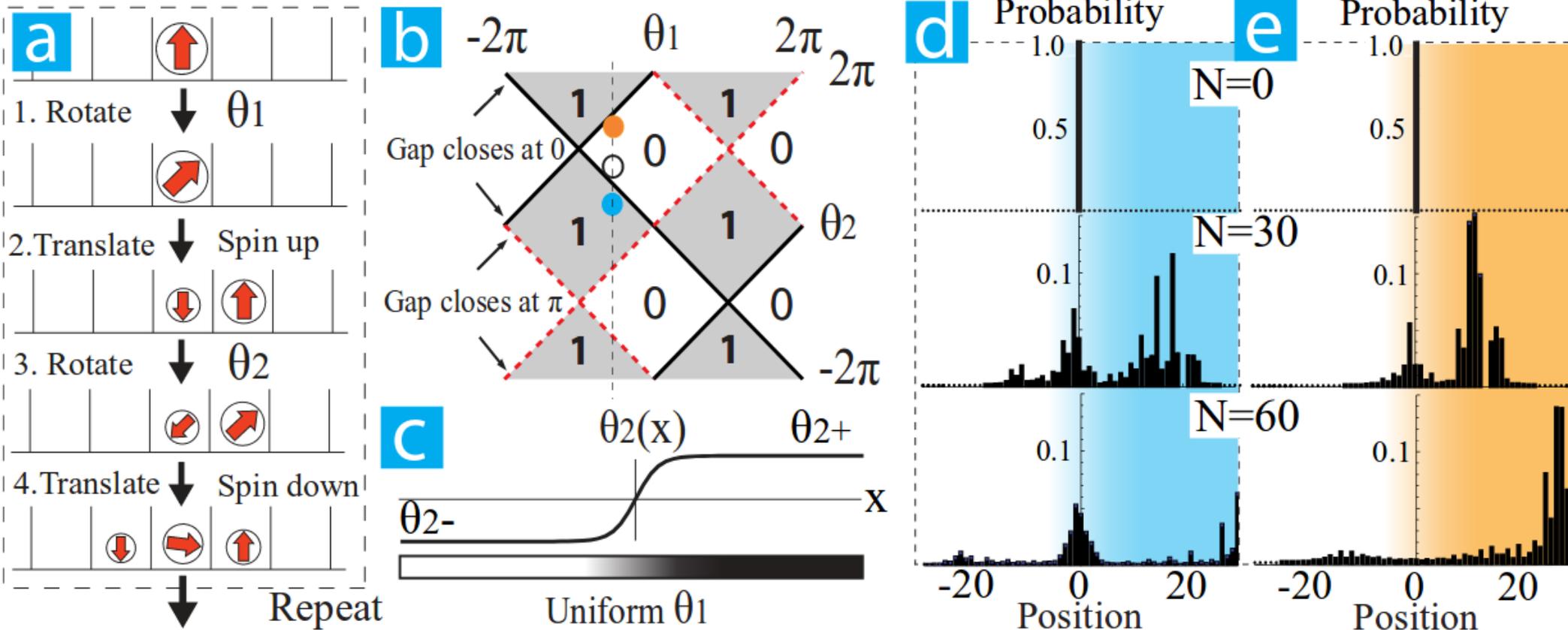
Explains ballistic spread



Discrete time  $\Rightarrow$  quasienergy, restricted to energy Brillouin zone:  $-\pi < E < \pi$

Discrete positions  $\Rightarrow$  quasimomentum, restricted to Brillouin zone:  $-\pi < k < \pi$

# Kitagawa et al, 2010: recipes for quantum walks to simulate topological insulators via Heff



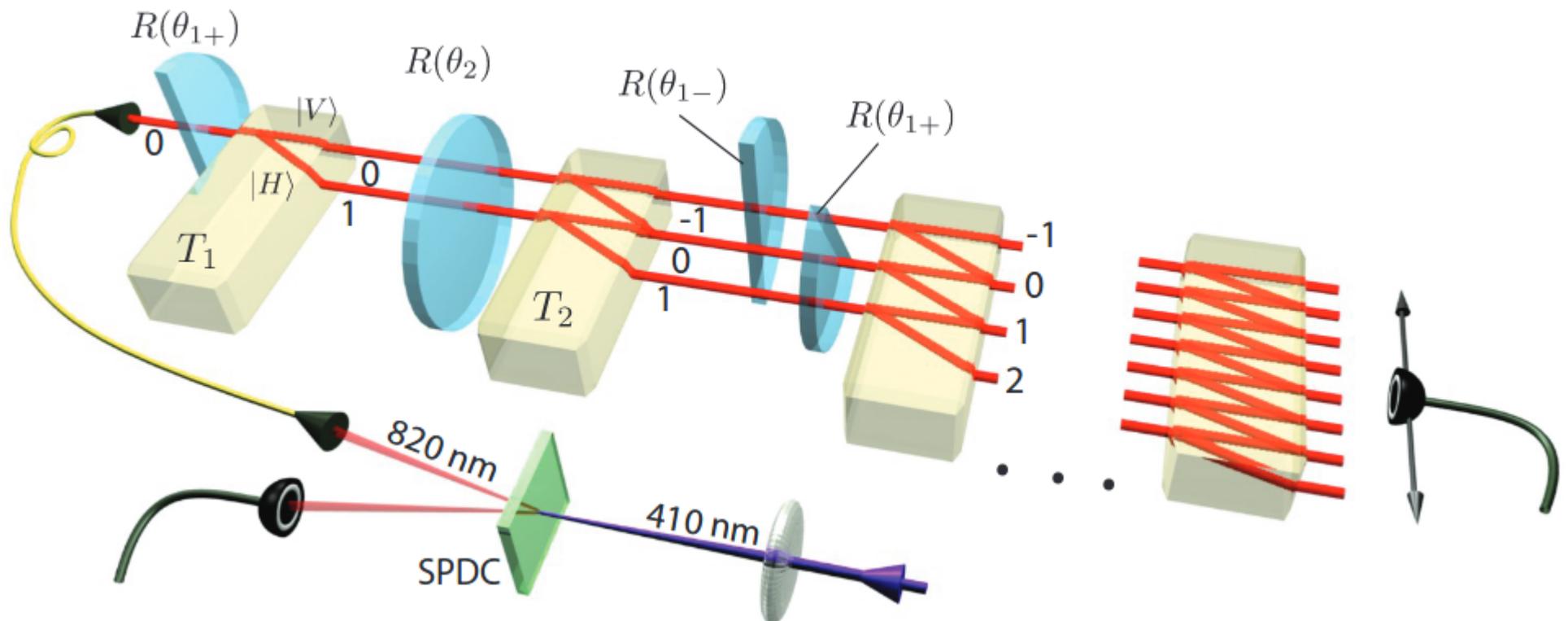
Recipes in 1D, 2D: how to realize all symmetry classes

[Kitagawa, Rudner, Berg, Demler, PRA (2010)]  $\rightarrow$  233 citations

# Experiment, 2011 (White's group): 1-D split-step quantum walk on photons ...

1-D split-step quantum walk, create interface by tuning  $\theta_2$

$$\hat{U} = \hat{S}_y \hat{R}_2 \hat{S}_x \hat{R}_1 \quad \hat{R}_j = e^{-i\theta_j \hat{\sigma}_y / 2}$$



[Kitagawa et al, Nat Comm (2012)]

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## **Extra topological invariants of quantum walks**

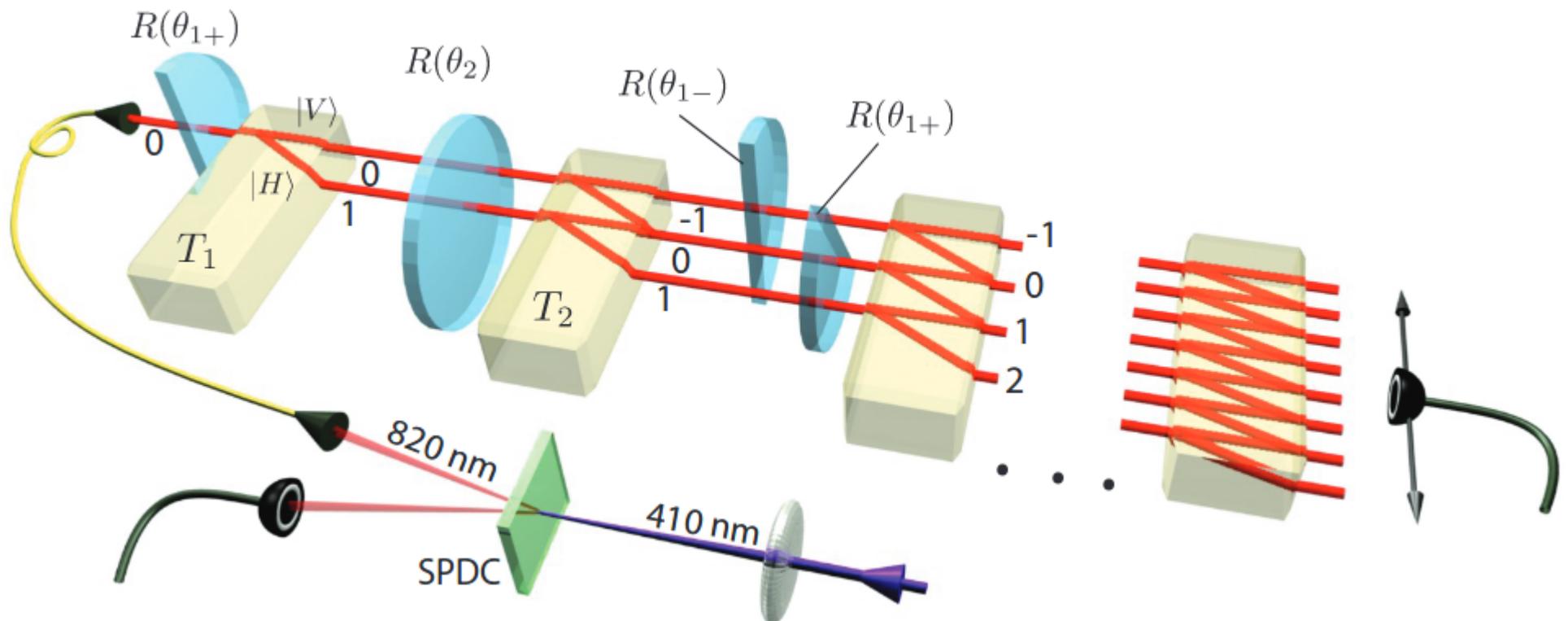
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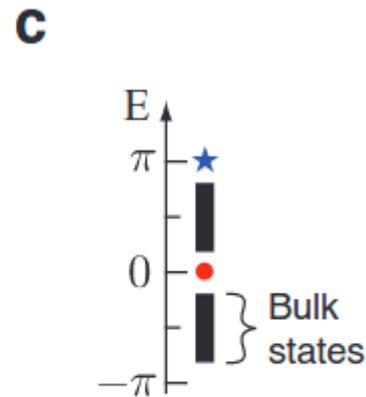
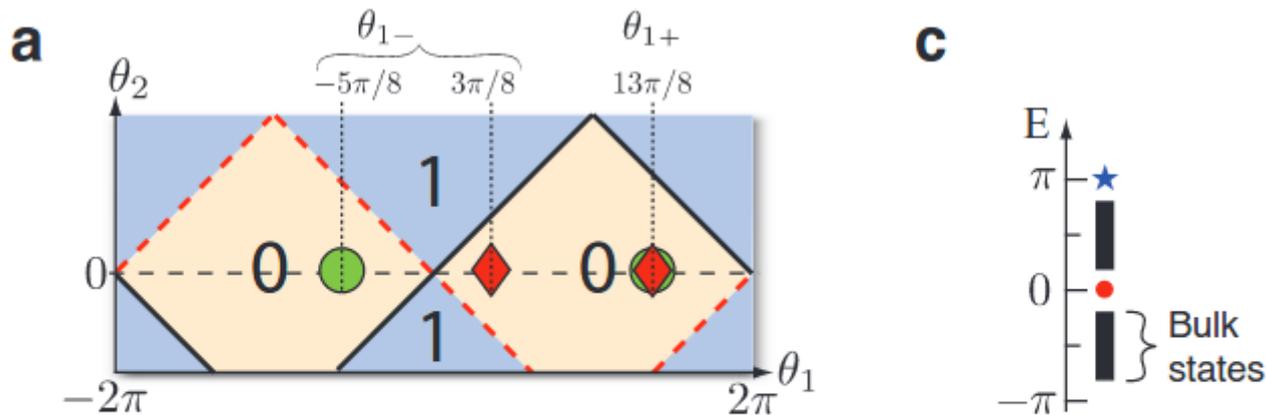
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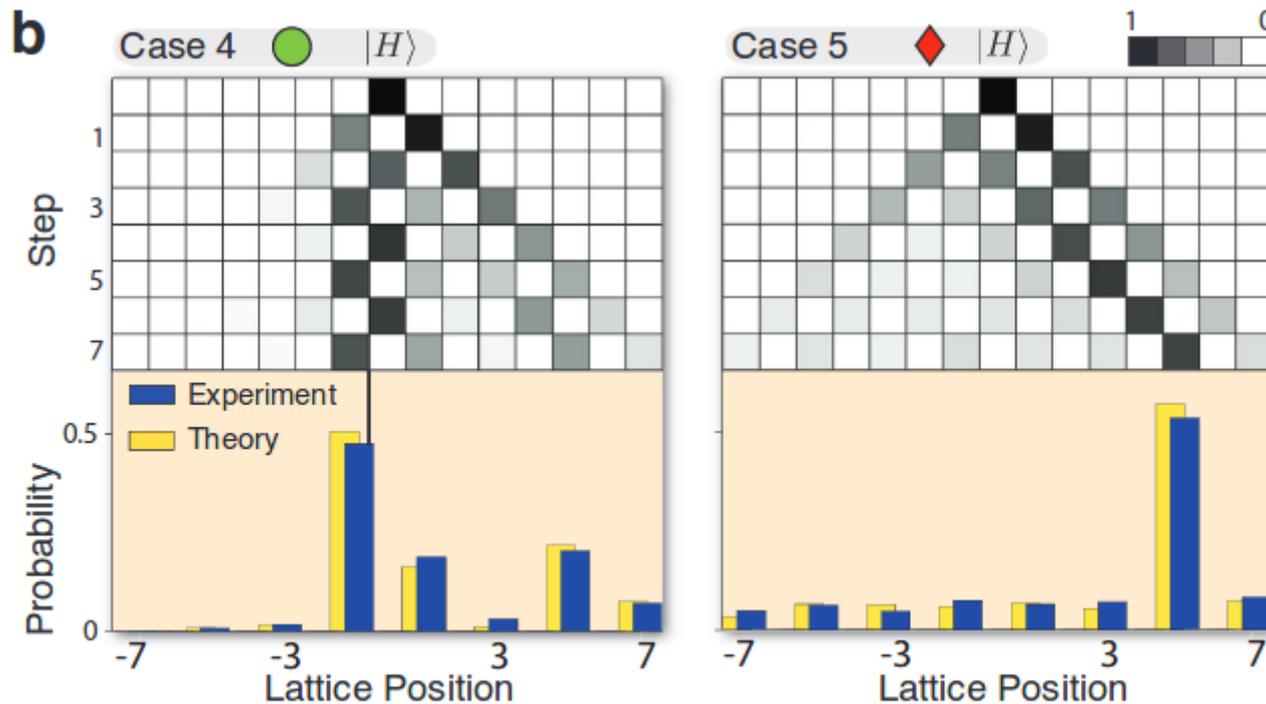
[Kitagawa et al, Nat Comm (2012)]

... experiment saw edge states where theory did not predict them



Pair of bound states at quasienergy 0 and  $\pi$

protected, but not predicted

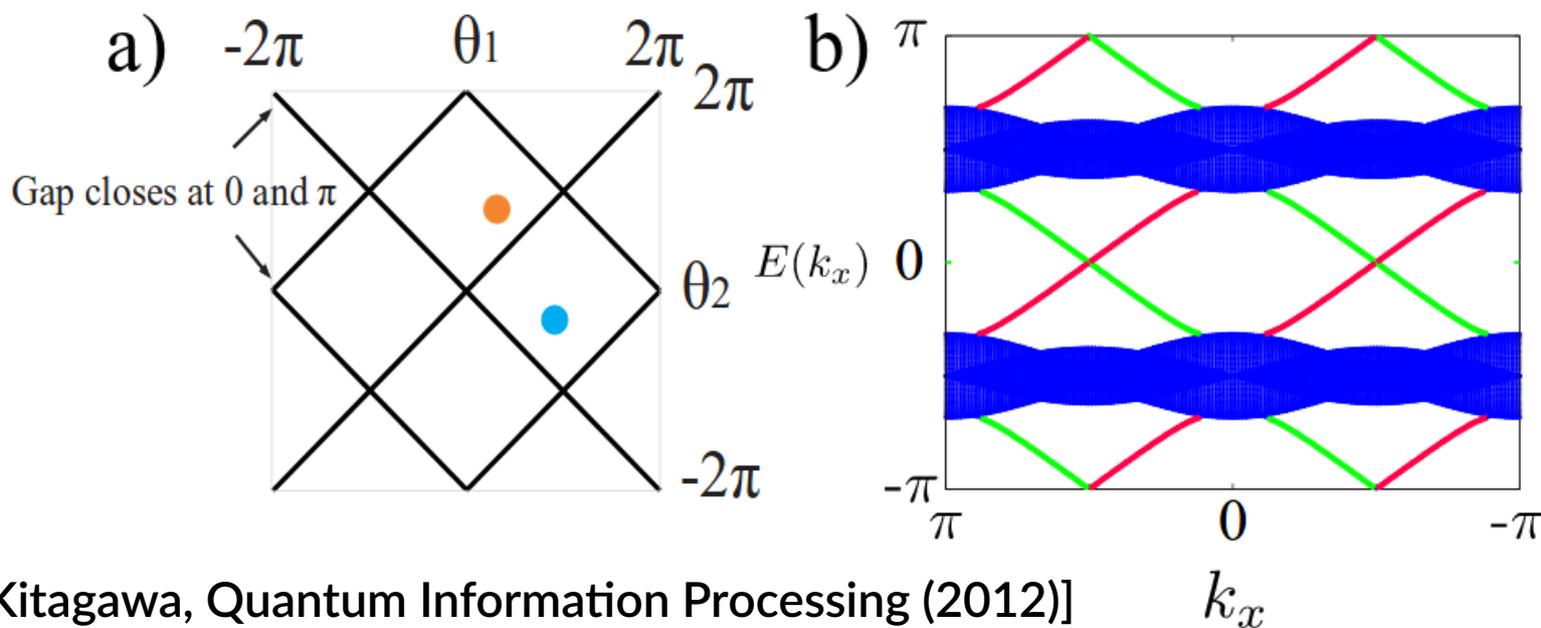


What is the bulk topological invariant?

# Kitagawa, 2011: protected edge state in 2-dimensional quantum walk, no bulk topological invariant

2-D split-step quantum walk has edge states at interface, even though Chern number = 0

$$\hat{U} = \hat{S}_y \hat{R}_2 \hat{S}_x \hat{R}_1 \quad \hat{R}_j = e^{-i\theta_j \hat{\sigma}_y / 2}$$



[Kitagawa, Quantum Information Processing (2012)]

What is the bulk topological invariant?

# We found the bulk topological invariant for both mysterious types of edge states

1-dimensional chiral symmetric quantum walks:  
2 topological invariants

[Asboth & Obuse Phys Rev B (2013)]

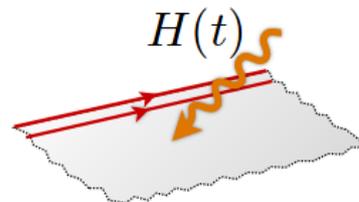
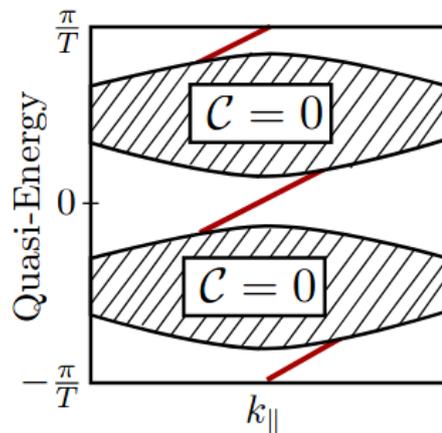
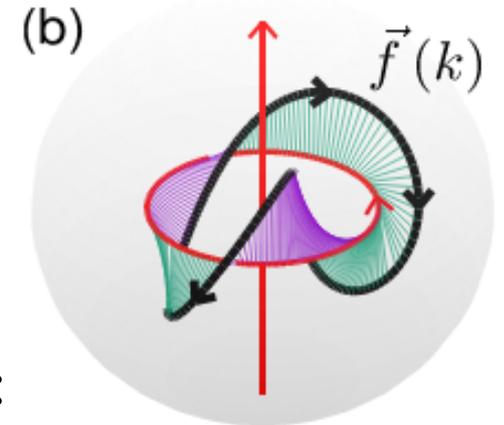
[Asboth, Tarasinski, Delplace, Phys Rev B (2014)]

2-dimensional quantum walks without symmetry:

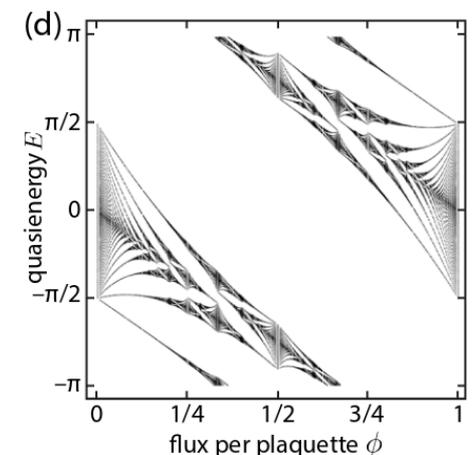
[Asboth & Edge, Phys Rev A (2015)]

by mapping to model of Rudner et al, Phys. Rev. X (2013)

- affects localization in 2D quantum walks [Edge & Asboth, Phys Rev B (2015)]
- can be measured by pseudomagnetic field [Asboth & Alberti, Phys Rev Lett (2017)]



Chiral edge modes  
for  $C = 0$  bands



Quantum Walks as simulators for solid state

Topological insulators: interesting Hamiltonians to simulate

Extra topological invariants of quantum walks

**Two methods to measure topological invariants, with disorder:**

- **Using scattering matrices**
- **Using weak measurement & expected displacement**

Scattering theory of topological phases in discrete-time quantum walks  
B Tarasinski, JK Asbóth, JP Dahlhaus, Phys Rev A (2014)

Detecting topological invariants in chiral symmetric insulators via losses  
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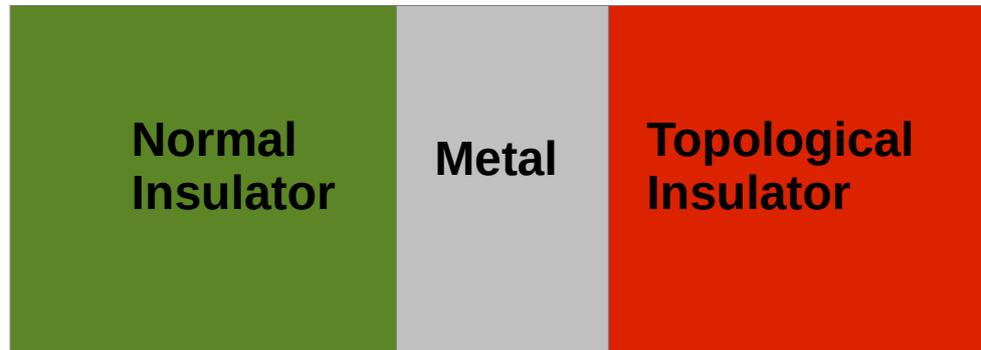
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# First method, borrowed from Hamiltonians: measure the scattering matrix



$$S = \begin{pmatrix} r & t' \\ t & r' \end{pmatrix}$$

Are there bound states at zero energy between the two insulators?

Does an electron interfere constructively with itself? Bohr-Sommerfeld quantization

$$\det(1 - r_N r_{TI}) = 0$$

Simple formulas for all symmetry classes in 1D

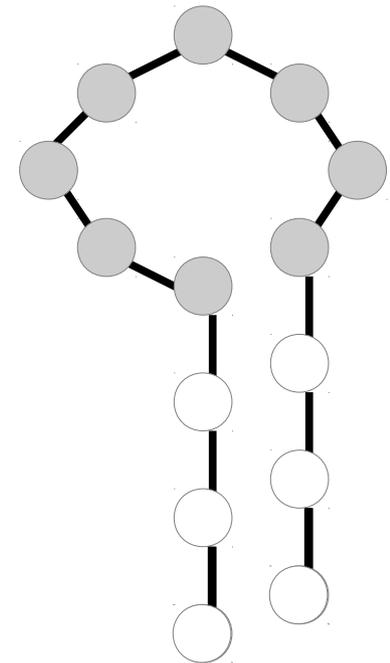
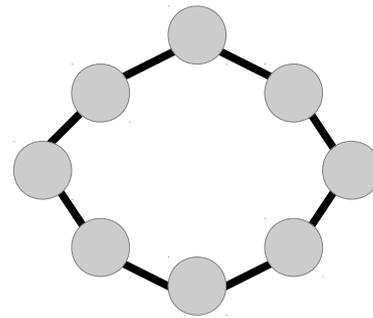
[Fulga, Hassler, Akhmerov, Beenakker, Phys. Rev. B (2011)]

Generalizes via dimensional reduction to all dimensions, symmetry classes

[Fulga, Hassler, Akhmerov, Phys. Rev. B (2012)]

# To define the scattering matrix, the system needs to be “opened up”

- 1) Open up the system
- 2) Attach leads
- 3) Define scattering matrix  $S$



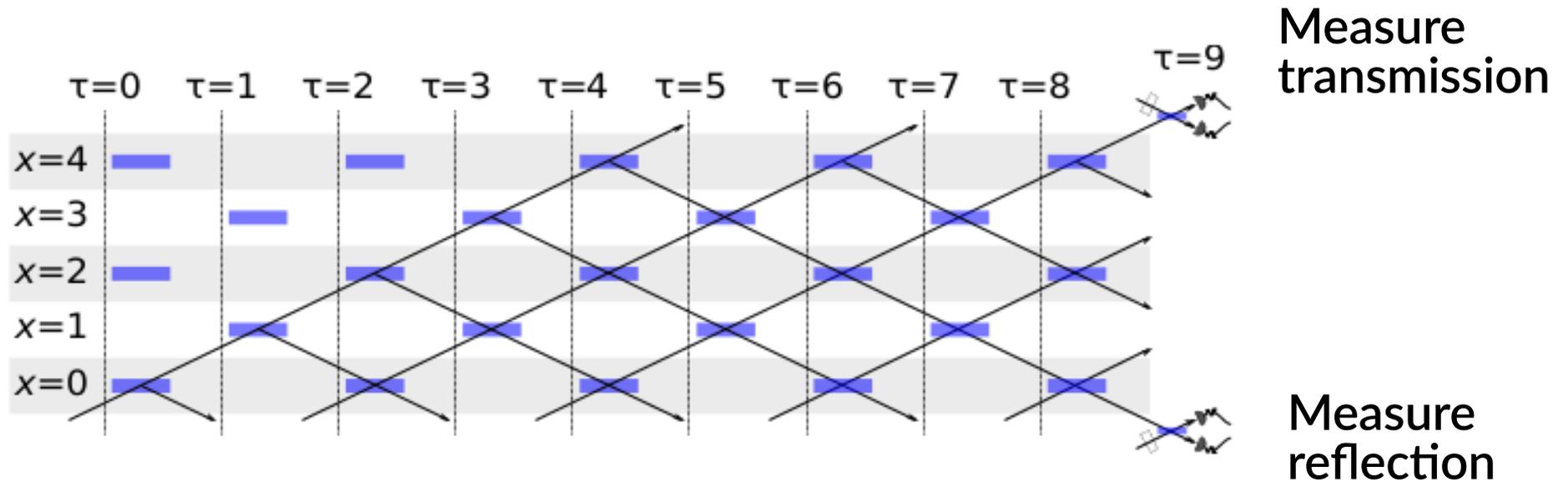
Mahaux-Weidemüller formula for continuous-time systems:

$$S = 1 + 2\pi i W^\dagger (\tilde{H} - i\pi W W^\dagger)^{-1} W.$$

Rewritten for discrete-time systems by Fyodorov&Somers:

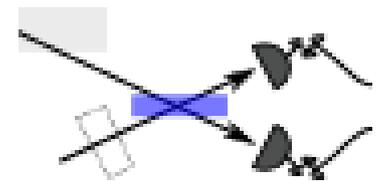
$$S(\epsilon) = \sigma_x e^{i\epsilon} \left[ w_2 \frac{1}{e^{-i\epsilon} - A} w_1 + S_0 \right]$$

# Can be transcribed to quantum walk on beam splitter array



Introduce light from one edge at every timestep

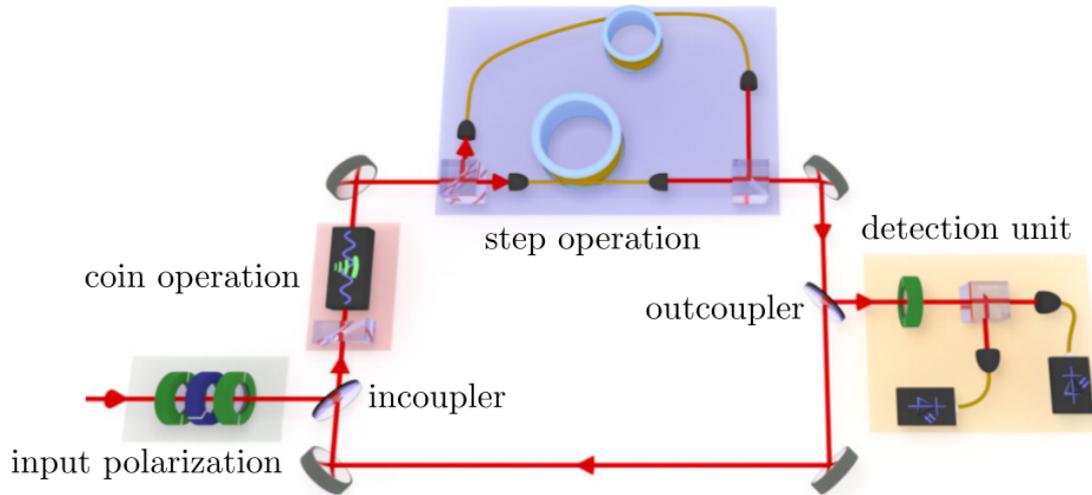
→ Measure reflection after transients



Introduce light only at  $t=0$ ,  
→ Measure reflection at every  $t$

$$r(\varepsilon) = \sum_{\tau=0}^{\infty} e^{i\varepsilon\tau} r(\tau)$$

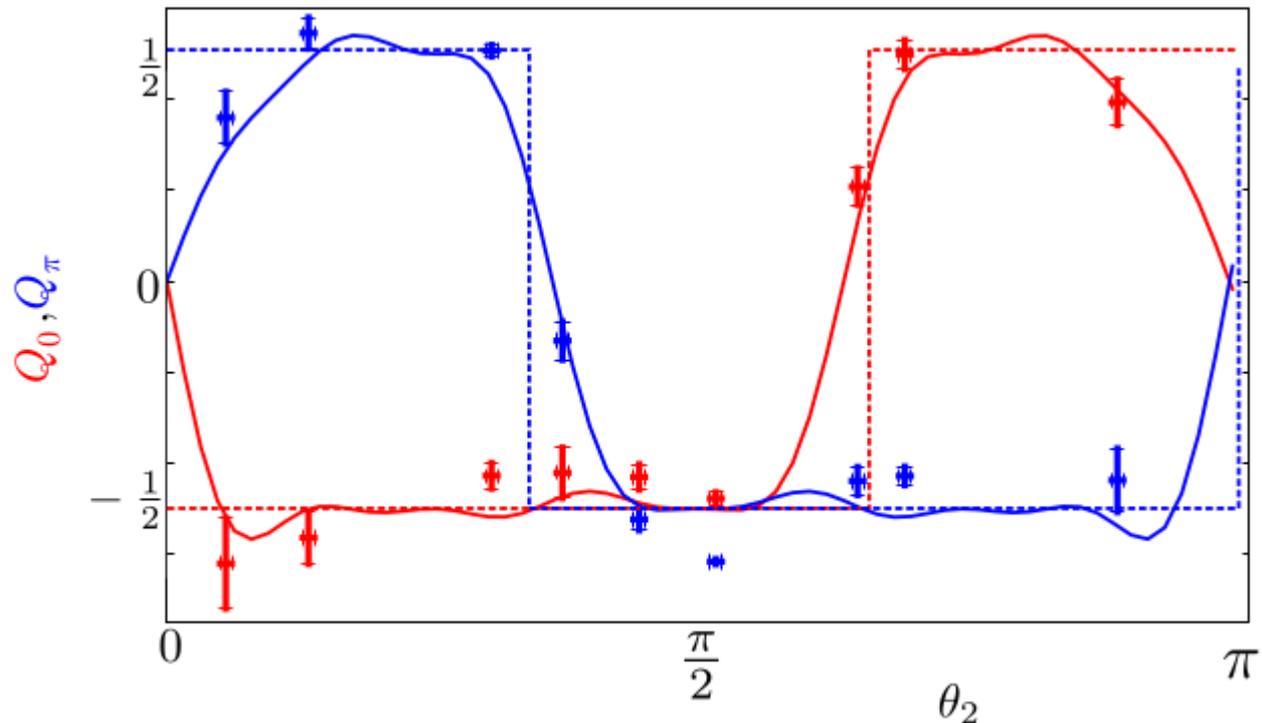
# Experiment using our proposal: 2017, Silberhorn group



Previously demonstrated:  
fluctuating disorder  
→ diffusion  
time-independent disorder  
→ Anderson localization  
[Schreiber et al, PRL (2011)]

- Implemented scattering setup
- Quantized reflection amplitudes
- Also with time-independent disorder (localized)
- Transition smoothed by finite sampling time

[Barkhofen et al, Phys. Rev. A (2017)]



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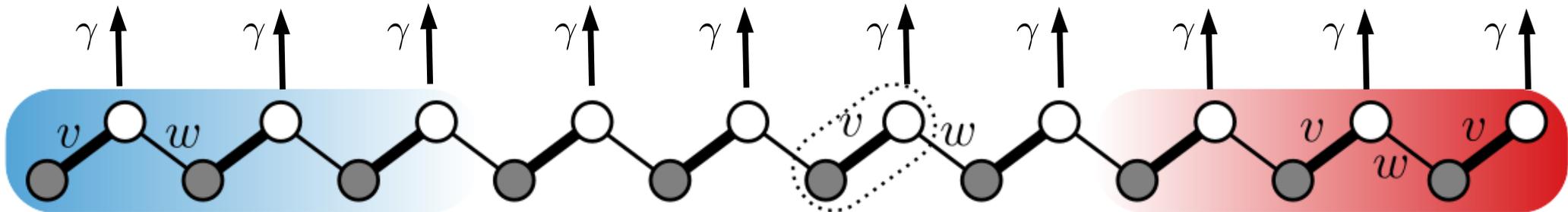
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# Second method, generalizing results of Rudner & Levitov about non-Hermitian SSH model



$$\hat{H} = v \sum_{m=1}^L (|m, B\rangle\langle m, A| + h.c.) + w \sum_{m=1}^{L-1} |m, B\rangle\langle m+1, A| + h.c.) - i\gamma \sum_{m=1}^L |m, B\rangle\langle m, B|$$

$\gamma=0$  : Su-Schrieffer-Heeger (SSH) model for polyacetylene (1979)  
mother of all topological insulators

$\gamma>0$  : added by Rudner & Levitov to represent losses  
→ Nonhermitian Hamiltonian for conditional time evolution.  
Condition: no decay events.  
Norm of wavefunction = prob(condition holds)

[Rudner and Levitov, Phys. Rev. Lett. (2009)]

Lecture Notes in Physics 919

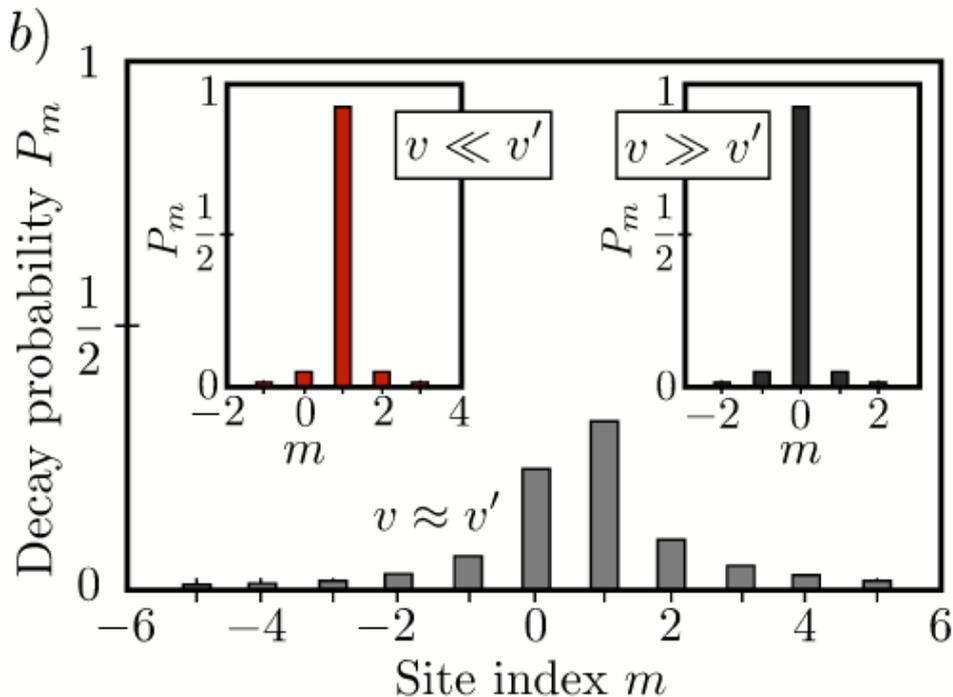
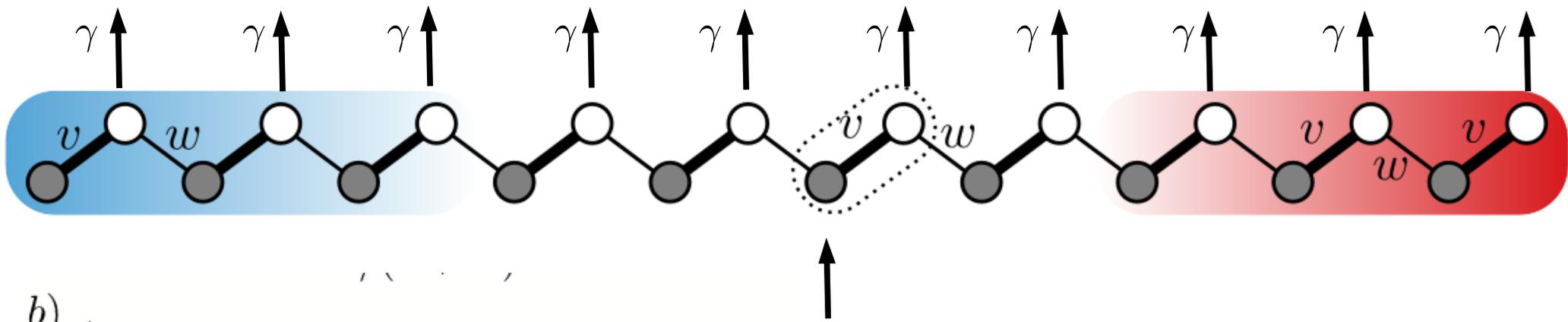
János K. Asbóth  
László Oroszlány  
András Pályi

A Short Course  
on Topological  
Insulators

Band-Structure and Edge States in One  
and Two Dimensions

# Rudner and Levitov (2009): Nonhermitian SSH, expected displacement until decay = top. inv.

When decay happens, collect particle. Position of decay=displacement until decay



Insert single particle at  $m=0, A$

$$\bar{m} = \langle \Delta x \rangle = \nu$$

topological proof: mapping to a winding number

# Our questions

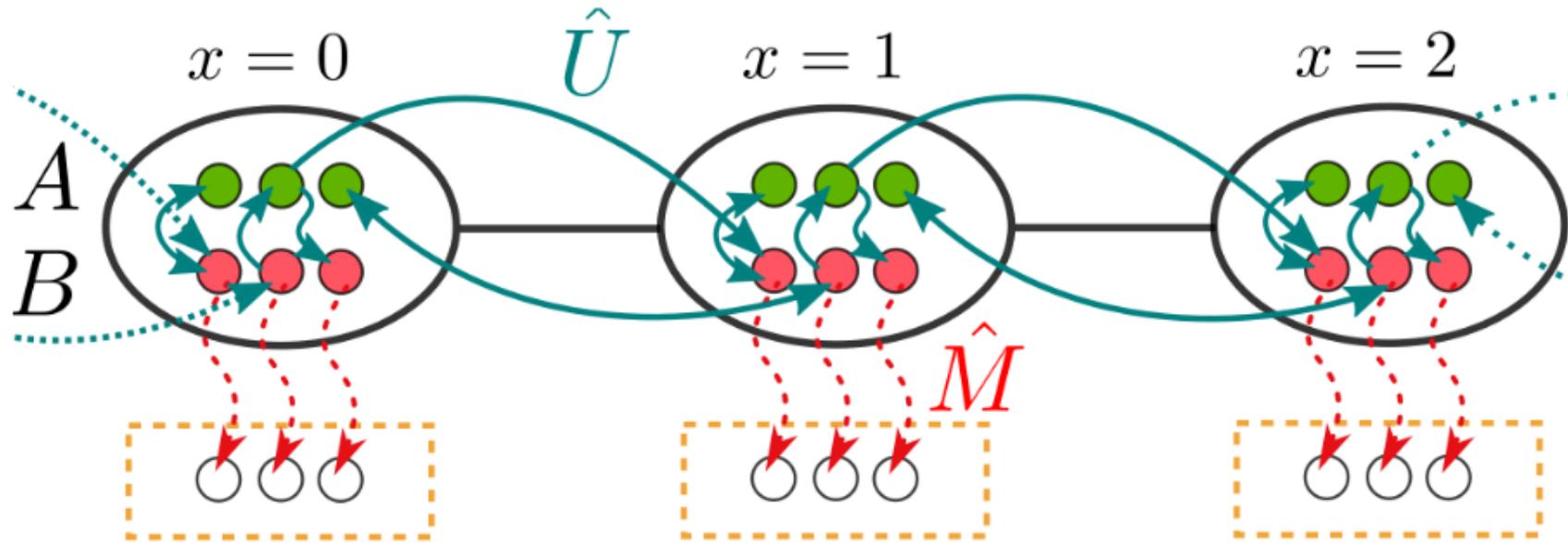
- Is Rudner & Levitov result general, or only specific to two-band model? (Their proof only works for two-band model)
- Is it valid for disordered systems?
- How to translate this to periodically driven systems?

$$\hat{H}(t) = \hat{H}(t + 1) \quad \hat{U} = \mathcal{T} e^{-i \int_0^1 \hat{H}(t) dt} = e^{-i \hat{H}_{\text{eff}}}$$

energy  $\rightarrow$  quasienergy  $E$

pair of winding numbers at  $E=0$ ,  $E=\pi$  [Asboth & Obuse, PRB (2013)]

The way to realize losses is by weak measurement on sublattice B at the end of each driving cycle



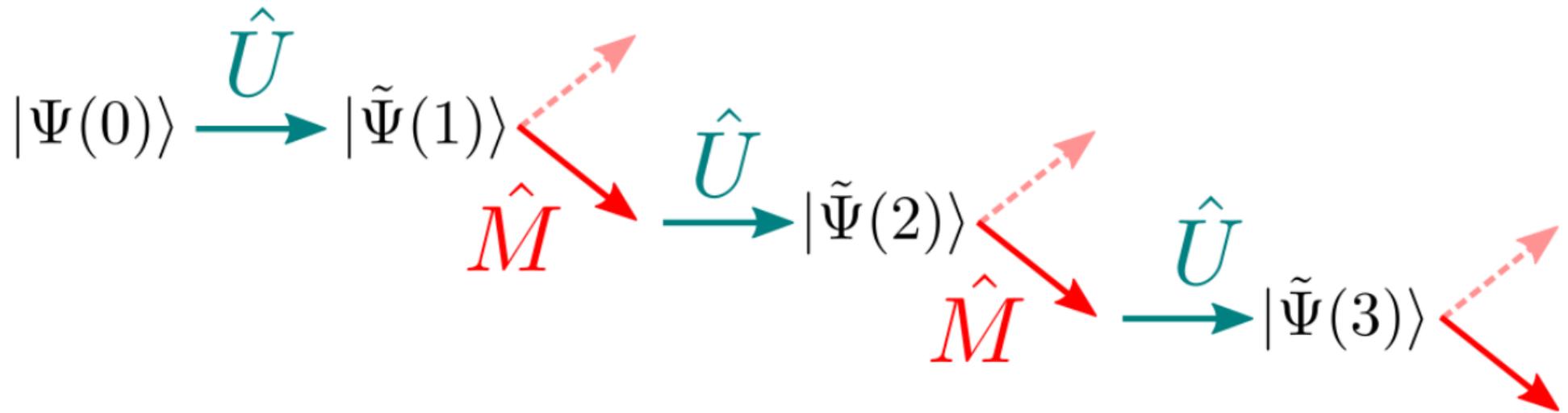
Effect of negative measurement:

(particle not detected)

$$\hat{M} = \hat{P}_A + \sqrt{1 - p_M} \hat{P}_B$$

Measurement efficiency

# Continue time evolution until particle is detected



Conditional wavefunction:

$$|\tilde{\Psi}(t)\rangle = \hat{U} \left[ \hat{M} \hat{U} \right]^{t-1} |\Psi(0)\rangle$$

$$\hat{M} = \hat{P}_A + \sqrt{1 - p_M} \hat{P}_B$$

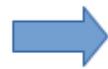
Static case: period time  $\rightarrow 0$ ,  $p_M \rightarrow 0$

# Expected displacement $\langle \Delta x \rangle = \text{topological invariant } \nu/N$

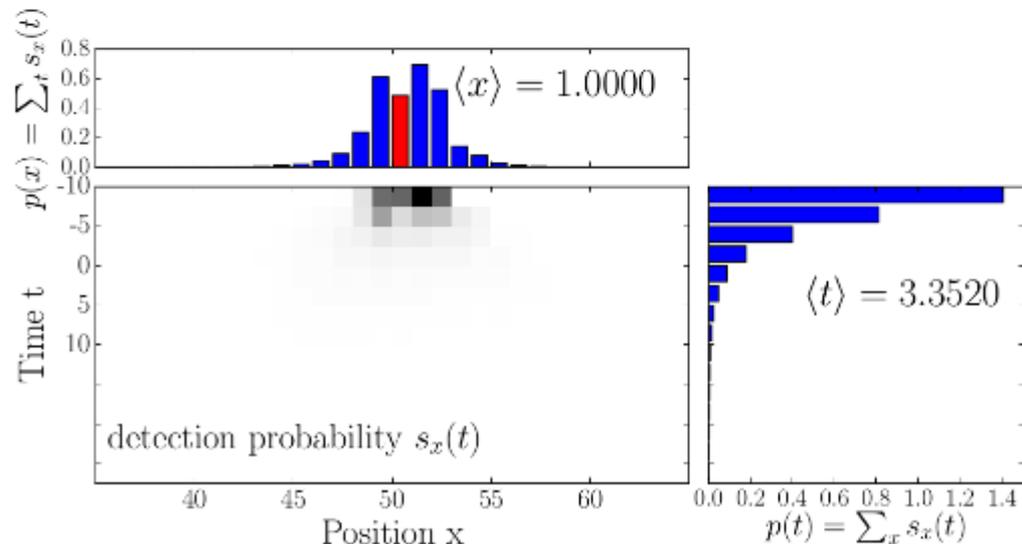
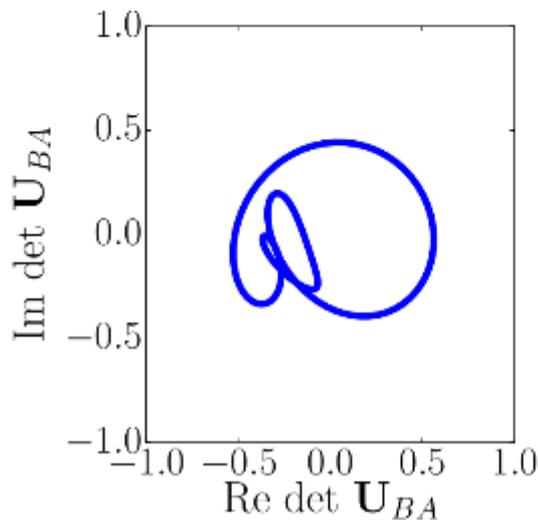
Expectation value of measured position:

$$\langle x \rangle \equiv \frac{p_M}{N} \sum_{t \in \mathbb{Z}^+} \sum_{x \in \mathbb{Z}} x \sum_{b=N+1}^{2N} \sum_{a=1}^N \left| \langle x, b | \hat{U} [\hat{M} \hat{U}]^{t-1} | x_0, a \rangle \right|^2$$

Translation invariance



$$\langle \Delta x \rangle \equiv \langle x \rangle - x_0 = \nu/N$$

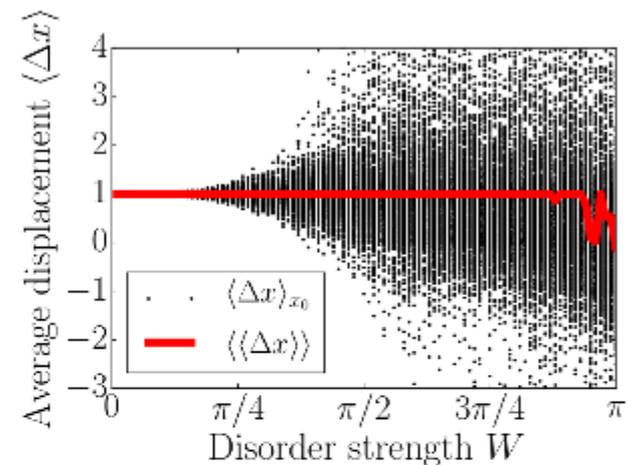


In the disordered case, averaging over initial position is needed:  $\langle\langle\Delta x\rangle\rangle = \nu/N$

Disorder  $\longrightarrow$  Displacement depends on starting position

So let's average over them!

$$\langle\langle\Delta x\rangle\rangle = \frac{1}{L} \sum_{x_0} \langle\Delta x\rangle_{x_0}$$



Most general statement:

$$\langle\langle\Delta x\rangle\rangle = \frac{-2}{LN} \text{Tr} \left\{ \hat{X} \hat{G} \hat{P}_{(E>0)} \right\} = \frac{\nu}{N}$$

$$\hat{G} = \hat{P}_A - \hat{P}_B$$

We proved  $\langle\langle\Delta x\rangle\rangle = \nu$  using non-commutative geometry formulation of winding number

Noncommutative geometry for topological insulators: Loring & Hastings, Prodan for chiral symmetric (AIII): Mondragon-Shem et al, PRL (2014)

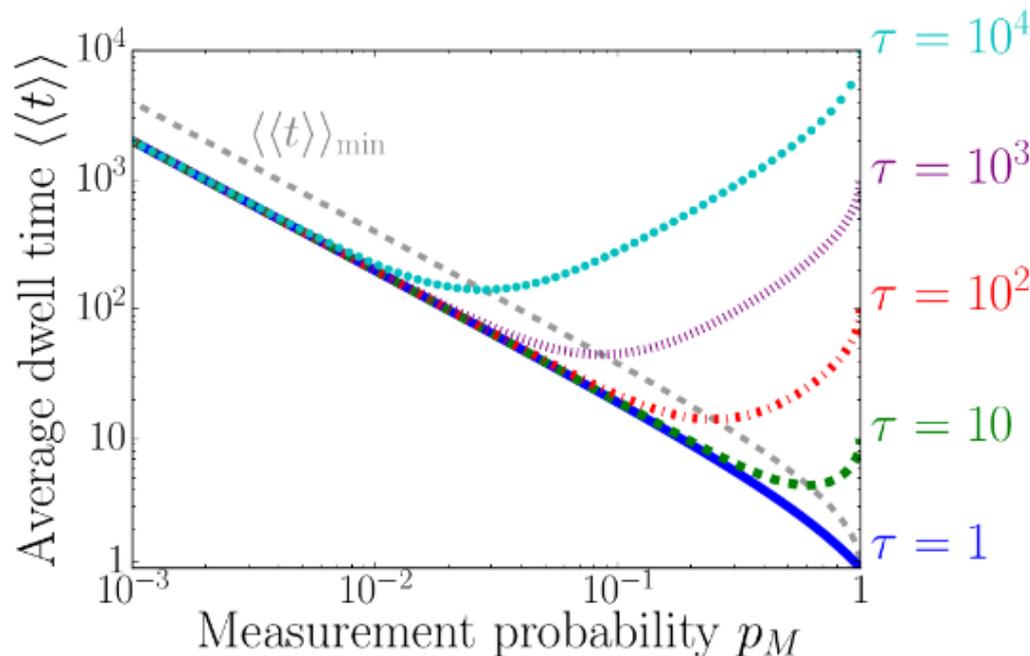
$$\nu = \frac{-(\pi i)^n}{(2n+1)!!} \sum_{\rho} (-1)^{\rho} \mathcal{T} \left\{ \prod_{i=1}^{2n+1} Q_{-+}[X_{\rho_i}, Q_{+-}] \right\}$$

Used this before on quantum walk, compared to scattering formulation of topological invariant [Rakovszky & Asboth, PRA (2015)]

# Fast readout can require weak measurement, if almost-dark states are present

Average dwell time:

$$\langle\langle t \rangle\rangle = \frac{p_M}{(1 + \sqrt{1 - p_M})^2} \underbrace{\int_{E=0}^{\pi} \frac{\rho(E)}{\sin^2 E} dE}_{\tau} + \frac{2\sqrt{1 - p_M}}{p_M}$$



$$\langle\langle t \rangle\rangle|_{p_M=1} = \tau$$

$$\langle\langle t \rangle\rangle_{\min} \approx \sqrt{2\tau} \quad \text{for } \tau \gg 1$$

$$p_M^* \approx \sqrt{8/\tau}$$

# Experiment using our proposal: 2017, Peng Xue's group

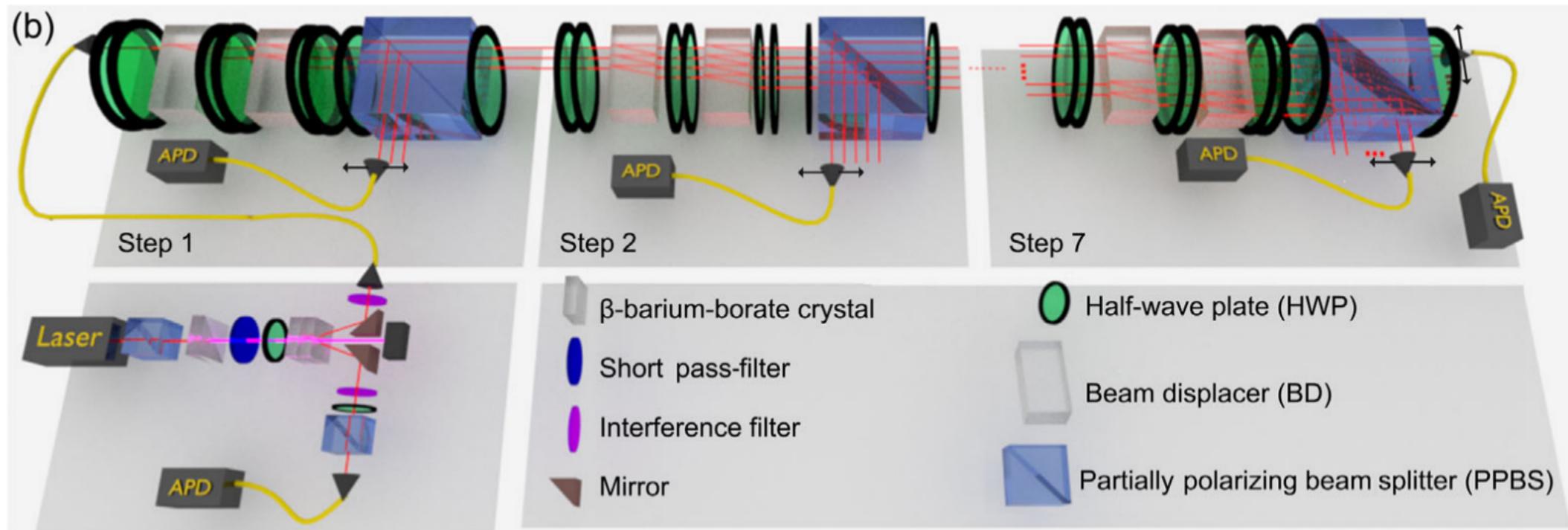
PRL **119**, 130501 (2017)

PHYSICAL REVIEW LETTERS

week ending  
29 SEPTEMBER 2017

## Detecting Topological Invariants in Nonunitary Discrete-Time Quantum Walks

Xiang Zhan,<sup>1</sup> Lei Xiao,<sup>1</sup> Zhihao Bian,<sup>1</sup> Kunkun Wang,<sup>1</sup> Xingze Qiu,<sup>2,3</sup> Barry C. Sanders,<sup>3,4,5,6</sup> Wei Yi,<sup>2,3,\*</sup> and Peng Xue<sup>1,7,†</sup>  
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# Topological invariants using displacement: Open questions, related work

- Does something like this work in 3 dimensions?
- Massignan & collaborators have since found similar results for  $\langle \Delta x \rangle$  defined for Hermitian Hamiltonians, in long-time limit. Precise equivalence?

## 1. [arXiv:1802.02109](#) [pdf, other]

### **Observation of the topological Anderson insulator in disordered atomic wires**

[Eric J. Meier](#), [Fangzhao Alex An](#), [Alexandre Dauphin](#), [Maria Maffei](#), [Pietro Massignan](#), [Taylor L. Hughes](#), [Bryce Gadway](#)

Comments: 6 pages, 3 figures; 9 pages of supplementary materials

Subjects: **Quantum Gases (cond-mat.quant-gas)**; Disordered Systems and Neural Networks (cond-mat.dis-nn); Quantum Physics (quant-ph)

## 2. [arXiv:1708.02778](#) [pdf, other]

### **Topological characterization of chiral models through their long time dynamics**

[Maria Maffei](#), [Alexandre Dauphin](#), [Filippo Cardano](#), [Maciej Lewenstein](#), [Pietro Massignan](#)

Journal-ref: New J. Phys. 20, 013023 (2018)

Subjects: **Other Condensed Matter (cond-mat.other)**; Mesoscale and Nanoscale Physics (cond-mat.mes-hall); Quantum Gases (cond-mat.quant-gas); Quantum Physics (quant-ph)

## 3. [arXiv:1610.06322](#) [pdf, other]

### **Detection of Zak phases and topological invariants in a chiral quantum walk of twisted photons**

[F. Cardano](#), [A. D'Errico](#), [A. Dauphin](#), [M. Maffei](#), [B. Piccirillo](#), [C. de Lisio](#), [G. De Filippis](#), [V. Cataudella](#), [E. Santamato](#), [L. Marrucci](#), [M. Lewenstein](#), [P. Massignan](#)

Comments: 10 pages, 7 color figures (incl. appendices) Close to the published version

Journal-ref: Nature Commun. 8, 15516 (2017)

Subjects: **Mesoscale and Nanoscale Physics (cond-mat.mes-hall)**; Quantum Gases (cond-mat.quant-gas); Optics (physics.optics); Quantum Physics (quant-ph)

# Summary of this talk

- Quantum Walks as simulators for solid state  
Topological insulators: interesting Hamiltonians to simulate
- Extra topological invariants of quantum walks
- Two methods to measure topological invariants, with disorder:
  - Using scattering matrices
  - Using weak measurement & expected displacement

Scattering theory of topological phases in discrete-time quantum walks  
B Tarasinski, JK Asbóth, JP Dahlhaus, Phys Rev A (2014)

Detecting topological invariants in chiral symmetric insulators via losses  
T Rakovszky, JK Asbóth, A Alberti, Phys Rev B (2017)

# My collaborators on these projects



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